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PTO/SB/21 (09-06)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Application Number 10/587,724 Filing Date July 26, 2006 First Named Inventor Janet Boggs Art Unit Unassigned Examiner Name Unknown Attorney Docket Number PC3-293 ENCLOSURES (Check all that apply) Fee Transmittal Form Drawing(s) After Allowance Communi Fee Attached Licensing-related Papers Appeal Communication to of Appeals and Interference Amendment/Reply Petition After Final Provisional Application Power of Attorney, Revocation Change of Correspondence Address Affidavits/declaration(s) Extension of Time Request Pagency Fee Pagency for Regund Provisional December Provisional December Provisional Provis	o Board aces o TC
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Express Abandonment Request Information Disclosure Statement CD, Number of CD(s) Certified Copy of Priority Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 Request for Refund PTO Form-1449 Copies of all cited foreign refered and articles Request for Refund PTO Form-1449 Copies of all cited foreign refered and articles NO FEE IS REQUIRED WITH THIS FILING. However should a fee be determined as owing, please charge such fee to Deposit Account No. 23-0925.	•
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Wells St. John P.S.	
Signature	
Printed name Robert C. Hyta	
Date Reg No.	
Date 2/1/67 Reg. No. 46,791	
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Signature Filed via Express Mail, receipt label no. EV 979440200 US	
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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No	10/587,724
Filing Date	July 26, 2006
Inventor	Janet Boggs et al.
Assignee	Great Lakes Chemical Corp.
Group Art Unit	Unassigned
Examiner	Unknown
Attorney's Docket No	PC3-293
Customer No	021567
•	ns, Compositions, Surfactants, Monomer Units, rs, Glycols, Aqueous Film Forming Foams, and

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

References -- See Attached Form PTO-1449

The attached Form PTO-1449 is submitted In compliance with 37 C.F.R. §§ 1.56, 1.97 and 1.98, your attention is directed to the references listed on the attached Form PTO-1449. Pursuant to Federal Register Vol. 69, No. 182, pg. 56542 (September 21, 2004), no copies of any cited U.S. patents or U.S. published applications are included herewith. Copies of all other cited art references are attached. No admission is made regarding whether the submitted references are prior art.

This Information Disclosure Statement is being filed before receipt of the first examination on the merits. Therefore, no fee is believed to be required. However, in the event that a fee is required for filing this Information Disclosure Statement, please charge the fee specified under 37 C.F.R. §1.17(p) to Deposit Account No. 23-0925.

Citation of these references is respectfully requested.

Respectfully submitted,

Dated: 2/1/07

Robert C. Hyta Reg. No. 46,791

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	AA	1,092,141	11/67	Daikin et al.						
	AB	2,559,749	07/51	Benning						
	AC	2,567,011	09/51	Diesslin et al.						
	AD	2,597,702	05/52	Benning						
	AE	2,995,542	08/61	Brown						
	AF	3,083,224	03/63	Brace et al.						
	AG	3,096,207	07/63	Cohen						
	АН	3,112,241	11/63	Mackenzie						
	AI	3,145,222	08/64	Brace						
	AJ	3,172,910	03/65	Brace						
	AK	3,194,840	07/65	Berry						
	AL	3,238,235	03/66	Hauptschein et a	al. 					
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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. PC3-293

APPLICANT: Janet Boggs et al.

SERIAL NO. 10/587,724

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	AA	3,256,231	06/66	Johnson, Jr. et a					
	АВ	3,282,905	11/66	Fasick et al.					
	AC	3,304,278	02/67	Hauptschein et a	l. 				
	AD	3,351,643	11/67	Hauptschein et a	l.				
	ΑE	3,351,644	11/67	Hauptschein et a	l				
	AF	3,450,755	06/69	Ahlbrecht					
	AG	3,457,247	07/69	Katsushima et al	•				
	АН	3,458,571	07/69	Tokoli					
	ΑI .	3,475,333	10/69	Meldrum et al.					
	AJ	3,491,169	01/70	Raynolds et al.					
	AK	3,497,575	02/70	Kleiner et al.					
	AL	3,498,958	03/70	Ray-Chaudhuri e	t al.				
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	АМ	DE 2247182	04/74	Germany (abstra	ct)			х	
	AN	GB 2 070 595	02/80	United Kingdom				x	
	AO	GB 2 018 759	04/78	United Kingdom				x	
	AP	JP 04272986	09/92	Japan (abstract)				х	
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conformance and not considered. Include copy of this form with next communication to applicant.

Sheet 3 of 13 U.S. DEPARTMENT OF COMMERCE Form PTO-1449 SERIAL NO. ATTY, DOCKET NO. PATENT AND TRADEMARK OFFICE 10/587,724 PC3-293 APPLICANT: Janet Boggs et al. LIST OF ART CITED BY APPLICANT (Use several sheets if necessary) FILING DATE **GROUP** July 26, 2006 Unknown **U.S. PATENT DOCUMENTS** Examiner Document Filing Date Class Subclass Date Name If Appropriate Initial Number 05/70 AΑ 3,514,420 Katsushima et al. 10/70 Hager et al. AB 3,532,659 AC 3,536,749 10/70 Groves 12/70 AD 3,544,663 Hauptschein et al. 04/71 ΑE 3,574,518 **Detomaso** 04/71 Katsushima et al. AF 3,575,940 AG 3,623,963 11/71 Voss et al. 01/72 Kleiner AΗ 3,636,085 ΑI 3,721,706 03/73 Hoffman et al. 3,752,783 08/73 **Iwantani** ΑJ ΑK 3,759,981 09/73 Hager et al. AL 3.816.277 06/74 Haszeldine et al. FOREIGN PATENT DOCUMENTS Translation Document Class Subclass Date Country Number Yes No JP 04272987 AM 09/92 Japan (abstract) X AN JP 43020466 09/68 Japan (abstract) Х ΑO JP 44001216 01/69 Japan (abstract) Х AP JP 48023684 03/73 Japan (abstract) X OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, Etc.) Krafft, et al.; "Highly fluorinated amphiphiles and colloidal systems...."; AQ Biochimie 1998; 1 page; (Database 1998:620482 CAPLUS) Nivet et al: "Synthesis and bioacceptability of fluorinated...."; European Journal AR of Medicinal Chemistry, 1992; 1 page; (Database 1993:408334 CAPLUS) DATE CONSIDERED **EXAMINER** *EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in

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U.S. DEPARTMENT OF COMMERCE Form PTO-1449 ATTY, DOCKET NO. SERIAL NO. PATENT AND TRADEMARK OFFICE PC3-293 10/587,724 LIST OF ART CITED BY APPLICANT APPLICANT: Janet Boggs et al. (Use several sheets if necessary) FILING DATE **GROUP** July 26, 2006 Unknown **U.S. PATENT DOCUMENTS** Filing Date Examiner Document Date Name Class Subclass If Appropriate Number Initial AΑ 3,824,126 07/74 Katsushima et al. ΑB 3,839,425 10/74 **Bartlett** 04/74 Knell, et al. AC 3,843,735 Hager et al. 05/75 AD 3,883,596 08/75 Walter ΑE 3,899,484 ΑF 3,906,049 09/75 Hager et al. 09/75 AG 3,907,576 Dear et al. Katsushima et al. AΗ 11/75 3,919,361 Toukan et al. ΑI 3,933,819 01/76 ΑJ 3,957,657 05/76 Chiesa, Jr. ΑK 4,000,188 12/76 Dear et al. 08/77 AL 4,043,923 Loudas FOREIGN PATENT DOCUMENTS Translation Document Class Subclass Date Country Number Yes No JP 49040040 10/74 AΜ Japan (abstract) X ΑN JP 53081799 07/78 Japan (abstract) X AO JP 55071779 05/80 Х Japan (abstract) AΡ JP 56122336 09/81 Japan (abstract) X OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, Etc.) Sawada et al.; "Synthesis and properties of...."; European Polymer Journal, AQ 1999, 1 page; (Database 1999:411635 CAPLUS) Nivet et al.: "Evaluation of a series of...."; Journal of Dispersion Science and AR Technology, 1992; 1 page; (Database 1993:66807 CAPLUS) DATE CONSIDERED **EXAMINER** *EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

LIST OF ART CITED BY APPLICANT (Use several sheets if necessary)

ATTY. DOCKET NO. PC3-293

SERIAL NO. 10/587,724

APPLICANT: Janet Boggs et al.

FILING DATE

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	АА	4,060,132	11/77	Chiesa Jr.				
	AB	4,060,489	11/77	Chiesa Jr.				
	AC .	4,081,399	03/78	Dear et al.				
	AD	4,089,804	05/78	Falk				
	AE	4,113,748	09/78	Hager et al.				
	AF	4,126,633	11/78	Toukan et al.				
	AG	4,127,711	11/78	Lore et al.				
	АН	4,134,754	01/79	Hoffman				
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	AJ	4,147,851	04/79	Raynolds				
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	AL	4,177,351	12/79	Toukan et al.				
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		Document Number	Date	Country	Class	Subclass	Trans Yes	latior No
	AM	JP 56169666	12/81	Japan (abstract)			х	
-	AN	JP 58042682	03/83	Japan (abstract)			х	
	AO	JP 58201752	11/83	Japan (abstract)			х	
	AP	JP 59059977	04/84	Japan (abstract)			х	
		OTHER REFER	RENCES (including Author, Title, D	ate, Pertinent Pa	ges, Etc.)		
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Sheet 6 of 13 Form PTO-1449 U.S. DEPARTMENT OF COMMERCE ATTY, DOCKET NO. SERIAL NO. PATENT AND TRADEMARK OFFICE PC3-293 10/587,724 LIST OF ART CITED BY APPLICANT APPLICANT: Janet Boggs et al. (Use several sheets if necessary) FILING DATE **GROUP** July 26, 2006 Unknown **U.S. PATENT DOCUMENTS** Examiner Document Filing Date Class Subclass Date Name Number If Appropriate Initial AΑ 4,188,307 02/80 **Bathelt** AΒ 4,192,754 03/80 Marshall et al. AC 4,209,456 06/80 Billenstein et al. ΑD 4,230,495 10/80 Lee et al. Toukan et al. ΑE 4,254,266 03/81 ΑF 08/81 Richter 4,283,533 AG 4,303,534 12/81 Hisamoto et al. AΗ 4,306,979 12/81 Tsuji **Smith** ΑI 4,317,859 03/82 AJ 4,351,946 09/82 Toukan et al. ΑK 4,366,299 12/82 Dessaint AL 4,387,032 06/83 Chiesa, Jr. FOREIGN PATENT DOCUMENTS Translation Document Date Class Subclass Country Number Yes No JP 61069813 04/86 AM Japan (abstract) X AN JP 08012536 01/96 Japan (abstract) X AO JP 08081883 03/96 X Japan (abstract) ΔΡ JP 2000126327 05/00 Japan (abstract) X OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, Etc.) Enjalbert et al.; "Synthesis of new cationic and amphoteric triple-chain...."; AQ Research on Chemical Intermediates, 1998; 1 page; (Database 1998:422963 CAPLUS) DATE CONSIDERED **EXAMINER** *EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in

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ATTY. DOCKET NO. SERIAL NO. PC3-293 10/587,724

APPLICANT: Janet Boggs et al.

FILING DATE GROUP July 26, 2006 Unknown

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	АА	4,388,212	06/83	Richter				
	АВ	4,419,298	12/83	Falk et al.				
	AC	4,420,434	12/83	Falk				
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	AE	4,460,480	07/84	Kleiner et al.				
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	AG	4,472,286	09/84	Falk				
	АН	4,478,975	10/84	Dessaint et al.				
	Al	4,486,391	12/84	Hashimoto				
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	AJ	JP 2000159840	06/00	Japan (abstract)			х	
	AK	JP 2003312156	11/03	Japan (abstract)			х	
_	AL	US2005/003429	01/05	PCT-IPRP/Written Opinion			x	
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	AP		•	sis of new amphoteric e, 1998; 1 page; (Databa		•		alian
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U.S. DEPARTMENT OF COMMERCE Form PTO-1449 ATTY, DOCKET NO. SERIAL NO. PATENT AND TRADEMARK OFFICE PC3-293 10/587,724 LIST OF ART CITED BY APPLICANT APPLICANT: Janet Boggs et al. (Use several sheets if necessary) **GROUP** FILING DATE July 26, 2006 Unknown **U.S. PATENT DOCUMENTS** Examiner Document Filing Date Date Name Class Subclass If Appropriate Initial Number AA 4,507,859 04/85 Shinio 01/86 Hisamoto et al. AB 4,563,287 AC 4,591,473 05/86 Lofquist et al. AD 4,600,774 07/86 Koshar ΑE 4,697,011 09/87 DesMarteau ΑF 01/88 4,717,744 Boutevin et al. AG 4,720,578 01/88 Liu 07/88 Probst et al. AΗ 4,760,205 ΑI 4,833,274 05/89 Caporiccio et al. ΑJ 4,879,324 11/89 Lausberg et al. FOREIGN PATENT DOCUMENTS Translation Document Date Class Subclass Country Number Yes No US2005/003433 01/05 PCT-IPRP/Written ΑK X **Opinion** AL US05/03433 01/05 PCT-Int'l Search Rpt X US2005/003137 01/05 **PCT-IPRP/Written** AM X Opinion / US05/03137 01/05 PCT-Int'l Search Rpt X OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, Etc.) Enialbert et al.; "Synthesis fo new double-chain cationic...."; Tenside, AO Surfactants, Detergents, 1998; 1 page; (Database 1992:550675 CAPLUS) Rzhevskii et al.; "Testing of ***surfactants***...."; Tsvetnye Metally (Moscow), AΡ 1998; 1 page; (Database 1999:114673 CAPLUS) Murata et al.; "Selective synthesis of fluorinated ethers...."; The Royal Society AQ of Chemistry, 2002; pps. 60-63 **EXAMINER** DATE CONSIDERED

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Sheet 9 of 13 Form PTO-1449 U.S. DEPARTMENT OF COMMERCE ATTY. DOCKET NO. SERIAL NO. PATENT AND TRADEMARK OFFICE PC3-293 10/587,724 APPLICANT: Janet Boggs et al. LIST OF ART CITED BY APPLICANT (Use several sheets if necessary) **GROUP** FILING DATE Unknown July 26, 2006 **U.S. PATENT DOCUMENTS** Examiner Document Filing Date Date Name Class Subclass Initial Number If Appropriate AΑ 4,985,526 01/91 Kishita et al. 01/91 Bertocchio et al. AB 4,983,769 02/90 AC 4,898,981 Falk et al. 06/91 Bollens et al. AD 5,026,910 5,091,550 ΑE 02/92 Falk et al. ΑF 04/92 Bollens et al. 5,107,021 AG 5,132,445 07/92 Falk et al. ΑН 06/93 Clark et al. 5,218,021 Kallfass et al. ΑI 5,240,990 08/93 ΑJ 5,310,870 05/94 Peavy ΑK 5,310,870 05/94 Peavy AL 5,391,721 02/95 Hanen et al. **FOREIGN PATENT DOCUMENTS** Translation Document Subclass Date Class Country Number Yes No PCT-IPRP/Written US2005/003138 01/05 AM X Opinion ΑN US05/03138 01/05 PCT-Int'l Search Rpt X AO 7-793 07/95 Japan X AΡ 2-12681 02/90 Japan X OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, Etc.) AQ DATE CONSIDERED **EXAMINER**

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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

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ATTY. DOCKET NO. PC3-293

SERIAL NO. 10/587,724

APPLICANT: Janet Boggs et al.

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	АА	5,395,997	03/97	Van Der Puy, et a	I.				
	АВ	5,439,998	08/95	Lina et al.					
	AC	5,478,486	12/95	Incorvia					
	AD	5,491,261	02/96	Haniff et al.					
	AE	5,504,265	04/96	Krespan et al.					
	AF	5,508,099	04/96	Incorvia					
	AG	5,534,192	07/96	Incorvia et al.					
	АН	5,539,024	07/96	Kirchmeyer et al.					
	AI	5,547,711	08/96	Kirchmeyer et al.					
	AJ	5,629,372	05/97	Anton et al.					
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	, AL	5,648,527	07/97	Prossel et al.					
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U.S. DEPARTMENT OF COMMERCE Form PTO-1449 SERIAL NO. ATTY. DOCKET NO. PATENT AND TRADEMARK OFFICE PC3-293 10/587,724 APPLICANT: Janet Boggs et al. LIST OF ART CITED BY APPLICANT (Use several sheets if necessary) FILING DATE **GROUP** July 26, 2006 Unknown **U.S. PATENT DOCUMENTS** Examiner Document Filing Date Date Name Class Subclass Initial Number If Appropriate AΑ 5,648,528 07/97 Prossel et al. ΑB 5,674,961 10/97 **Fitzgerald** 08/98 AC 5,798,415 Corpart et al. AD 5,827,919 10/98 May 12/98 ΑE 5,847,206 Pavia et al. ΑF 03/99 5,883,185 Matsumura et al. AG 5,919,527 07/99 Fitzgerald et al. 10/99 AΗ 5,965,656 Yamamoto et al. Ürögdi et al. ΑI 6,002,002 12/99 ΑJ 6,015,838 01/00 Stern et al. ΑK 6,031,141 02/00 Mallikarjuna et al. 09/00 ΑL 6,120,892 Fitzgerald et al. **FOREIGN PATENT DOCUMENTS** Translation Class Subclass Yes No AM ΑN ΑO ΑP OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, Etc.) AQ **EXAMINER** DATE CONSIDERED *EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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APPLICANT: Janet Boggs et al.

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ART GROUP Unknown

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